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J		VERIFIED STATEN CLAIMING SMAL	MENT (DECLARATION) BY AN IL ENTITY STATUS UNDER 37	Independent Invento CFR 1.9(f) and 1.27(b)	R)
Applicant or	Patentee:	National Chiao Tu	ng University	Docket #:	
Serial or Pat				Group Art Unit:	
Filed or Issu Title:		:4ai a	f	Examiner:	
	————	ttoring apparat	us f ₋ r ues in wavelen	egin division mun	uplexing network
reduced fees to The sp The particle The Po	o the Patent and pecification filed atent application	Trademark Office with the tith identified above. I patent application ide	h regard to the matter describle as listed above.	nventor as defined in 37 ped in:	CFR 1.9(c) for purposes of payi
rights in the in	vention to any p	erson who could not b	oe classified as an independe	nt inventor under 37 CF	assign, grant, convey or license a R 1.9(c) if that person had made to a nonprofit organization under
law to assign, and so	grant, convey or uch person, cond such person, co	license any rights in t cern or organization. oncern or organization	the invention is listed below:	te verified statements ar	nder an obligation under contract e required from each named person for the second sec
FULL NAME:	Natio	onal Chiao Tur	ng University		☐ Individual
ADDRESS 1001 Ta Hsueh Rd., Hsinchu, Taiwan, R.O.C.				☑ Small Business Concern ☐ NonProfit Organization	
FULL NAME:					
					Individual
Annress:			☐ Small Business Concern☐ NonProfit Organization		
<u> </u>		☐ See attached sho	eet for additional person(s) c	oncern(s) or organization	l
status prior to	paying, or at th	in this application or	patent, notification of any chearliest of the issue fee or a	nange in status resulting	in loss of entitlement to small enti- after the date on which status as
believed to be punishable by	true; and furthe fine, or imprisor	er that these statement nment, or both, under	s were made with the know.	ledge that willful false s se United States Code, as	made on information and belief a statements and the like so made a nd that such willful false statement ied statement is directed.
ſ	Inven	TOR'S NAME	DATE	SIGNAT	TURE
	SIEN CHI	[Ang. 31,2003	Sien	Chi
ſ	INVEN	TOR'S NAME	DATE	Significant	SUPE
	HIVEN	. O. O. I TAME	DATE	SIGNAT	/

Inventor's Name	DATE	Signature					
SIEN CHI	Ang. 31,2003	Sien Chi					
Inventor's Name	DATE	Signature					
CHIEN-CHUNG LEE	Aug. 31, 2003	Chien - Chung Lee					
Inventor's Name	DATE	Signature					
CHIEN-HUNG YEH	Aug. 31, 2003	alien Hors Jeh					
Inventor's Name	DATE	Signature					
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		6Р ДФИЖИДНИ			
	LARATION) BY A SMALL BUSINESS US UNDER 37 CFR 1.9(f) AND 1.27(c))			
Applicant or Patentee: National Chiao Tung University Serial or Patent Number:	Group Art Unit:				
Filed or Issued: Title: Optical monitoring apparatus for ues in	Examiner: n wavelength division mult	tiplexing network			
hereby declare that I am	To the second of				
the owner of the small business concern identified below: an official of the small business concern empowered to ac		pelow:			
Name of Concern: National Chiao Tung Universit Address: 1001 Ta Hsueh Rd., Hsinchu, Taiwar					
hereby declare that the above identified small business concern queeroduced in $37 \ CFR \ 1.9(d)$, for purposes of paying reduced fees number of employees of the concern, including those of its affiliated number of employees is the average over the previous fiscal year temporary basis during each of the pay periods of the fiscal year indirectly, one concern controls or has the power to control the other	s under section 41(a) and (b) of Title s, does not exceed 500 persons. Four of the concern of the persons empty, and (2) concerns are affiliates of	35, United States Code, in that the purposes of this statement, (1) the ployed on a full-time, part-time of each other when either directly of			
hereby declare that rights under contract or law have been convey regard to the matter described in:		iness concern identified above with			
The specification filed herewith, with the title as listed at The patent application identified above.	bove.				
The PCT International patent application identified above.	e.				
The patent number identified above.	-				
If the rights held by the above identified small business concern are	e not exclusive, each individual, conc	ern ar arganization having rights to			
the invention must file separate verified statements averring to their person, other than the inventor, who would not qualify as an independant of the person of the inventor, who would not qualify as a small business concern Each person or organization having any rights in the invention is list no such person, concern or organization. ———————————————————————————————————	ndent inventor under 37 CFR 1.9(c) i under 37 CFR 1.9(d), or a nonprofit	f that person made the invention, or			
FULL NAME:					
A DDRESS:		Small Business Concern NonProfit Organization			
FULL NAME:		☐ Individual☐ Small Business Concern			
A DDRESS:		NonProfit Organization			
☐ See attached sheet for additional	l person(s) concern(s) or organization	(s).			
acknowledge the duty to file, in this application or patent, notificat status prior to paying, or at the time of paying, the earliest of the ismall entity is no longer appropriate (37 CFR 1.28(b)).	ssue fee or any maintenance fee due	after the date on which status as a			
hereby declare that all statements made herein of my own knowled believed to be true; and further that these statements were made we bunishable by fine, or imprisonment, or both, under section 1001 of may jeopardize the validity of the application, any patent issuing the	ith the knowledge that willful false s Title 18 of the United States Code, as	tatements and the like so made are			
Name and Title	Date	3			
Chung-Ju Chang/ Dean of Research and Development Address	Signature 30, 5, 20	0)			
Address 1001 Ta Hsueh Rd., Hsinchu, Taiwan, R.O.C Signature Lung Mary Lung					
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	Vancour Courses (Name of O	1 RQ8 URIDAZ U DQQ DARQI
	VERIFIED STATEMENT (DECLARATION) BY A CLAIMING SMALL ENTITY STATUS UNDER	A Nonprofit Organizatio a <i>37 CFR 1.9(f) and 1.27(d)</i>	N
Applicant or Patentee: Serial or Patent Number: Filed or Issued:	National Chiao Tung University	Docket #: Group Art Unit: Examiner:	
	itoring apparatus for ues in wave		inleving network
hereby declare that I am an of	ficial empowered to act on behalf of the nonpr	ofit organization identified b	elow:
Name of Organization: Address:			
	astitution of higher education. Ternal Revenue Service code (26 USC 501	(a) and 501(c)(3))	
	or educational under statute of state of the		
Name of State:	exempt under Internal Revenue Service c	Statute:	501/-1/21) :61 1 : 41
United States of An		ode (20 OSC 301(a) ana .	001(c)(3)) If located in the
☐Would qualify as non the United States of	profit scientific or educational under state America. Name of State:	ute of state of the United	States of America if located in Statute:
ourposes of paying reduced fees The specification file The patent application	al patent application identified above.	as a nonprofit organization States Code with regard to t	as defined in <i>37 CFR 1.9(e)</i> fo he matter described in:
•	er contract or law have been conveyed to and	remain with the nonprofit or	ganization with regard to the above
ights to the invention must file leld by any person, other than nvention, or by any concern where 1.9(e). Each person, concern the leach person that leach person the leach person that leach person the leach person that leach person	identified nonprofit organization concern are e separate verified statements averring to thei the inventor, who could not qualify as an inde hich would not qualify as a small business cor cern or organization having any rights in the in	r status as small entities and	that no rights to the invention are
☐No such person, conc☐Each such person, con	ern or organization. ncern or organization as listed below:		
	ern or organization.		□ Individual
☐Each such person, con	ern or organization.		
Each such person, con	ern or organization.		☐ Individual ☐ Small Business Concern
Each such person, con	ern or organization.		☐ Individual ☐ Small Business Concern ☐ NonProfit Organization ☐ Individual
Each such person, con	ern or organization.		☐ Individual ☐ Small Business Concern ☐ NonProfit Organization
Each such person, con FULL NAME: ADDRESS: FULL NAME:	ern or organization. ncern or organization as listed below:	concern(s) or organization(s	☐ Individual ☐ Small Business Concern ☐ NonProfit Organization ☐ Individual ☐ Small Business Concern ☐ NonProfit Organization
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FULL NAME: ADDRESS: ADDRESS: acknowledge the duty to file, it tatus prior to paying, or at the mall entity is no longer appropriately declare that all statemed elieved to be true; and further unishable by fine, or imprison	See attached sheet for additional person(s) in this application or patent, notification of any time of paying, the earliest of the issue fee or	y change in status resulting in or any maintenance fee due rue and that all statements n owledge that willful false st of the United States Code, an	☐ Individual ☐ Small Business Concern ☐ NonProfit Organization ☐ Individual ☐ Small Business Concern ☐ NonProfit Organization ☐ NonProfit Organization ☐ after the date on which status as a statements and the like so made are do that such willful false statements
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Assignor Name	Address				
CHIEN-CHUNG LEE	·				
Where Signed	No.40, Yusiang Rd., Hualien City, Hualien				
	County Taiwan, R.O.C				
Date	Signature				
Λ .					
Hury 31, 2003	Chien-Chung Lee				
Witness (optional)	Witness (optional)				
Du:/T M	D.:/T N				
Print/Type Name	Print/Type Name				
Signature	Signature				
Assignor Name	Address				
CHIEN-HUNG YEH	1E NI- C A110-1 - 70 0 0 0 0'				
Where Signed	1F., No.6, Alley 9; Lane 79, Sec. 3, Sianmin Blvd., Banciao City, Taipei County Taiwan,				
	R.O.C				
. Date	Signature				
Aug. 31. 2003	Clien Aung Yer				
Witness (optional)	Witness (optional)				
Print/Type Name	Print/Type Name				
Signature	Signature				
Assignor Name	Address				
Where Signed					
Date	Signature				
Witness (optional)	Witness (optional)				
Print/Type Name	Print/Type Name				
Signature	Signature				

DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention (Design, if applicable) entitled:

Optical monitoring apparatus for ues in wavelength division multiplexing network

is attached hereto, or	as U.S. Application Number or PCT International				
I hereby state that I have reviewed amendment(s) referred to above. I of Federal Regulations, 1.56.	e) was amended on: and understand the contents of the ab acknowledge the duty to disclose inf nereby claim foreign priority benefits sted below and have also identified be tion on which priority is claimed.	ormation whi under Title 3	ch is material to patentability as 5, <i>United States Code 119</i> of	s defined in Tany foreign a	itle 37, Code pplication(s)
	PRIOR FOREIGN APPLICATION(S)			PRIORITY	CLAIMED
Number	Country	Day/Month/Year Filed			No
	☐ Additional Priority Application	n(s) Listed on	Following Page(s)		
I HEREBY CLAIM THE BE	NEFIT UNDER TITLE 35 U.S. CODE =11	9(e) of any U	.S. PROVISIONAL APPLICATIONS LI	STED BELOW.	
Applicat	on Number		Day/Month/Year Fi	led	
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	☐ Additional Provisional Applica	tion(s) Listed	on Following Page(s)		
not disclosed in that/those prior app the duty to disclose information w	ed States of America listed below and lication(s) in the manner provided by hich is material to patentability as define prior application(s) and the national	the first parag efined in <i>Titl</i> e	raph of Title 35, United States 2 37, Code of Federal Regulat	Code, 112, 1 tions, 1.56 v	application if acknowledge which became
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are believed to be true; and further punishable by fine or imprisonment jeopardize the validity of the application. POWER OF ATTORNEY this application and transact all busing APEX JU I(we) authorize my(our) attorneys to regarding any matter related to the properties.	tatements made herein of my own known that these statements were made with a rototh, under section 1001 of title stion or any patent issued thereon. I (We) hereby appoint as my (our) mess in the Patent and Trademark Office.	owledge are the the knowled the knowled to the United the United the United the the United the the the the the the the knowled the	rue and that all statements mad dge that willful false statement ted States Code and that such with full powers of substitution at therewith: ific INT'L Patent & Tradem this application, any continuation.	ts and the like willful false st and revocation ark Office on, continuation	e so made an atements mag
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are believed to be true; and further punishable by fine or imprisonment jeopardize the validity of the application and transact all busing this application and transact all busing APEX JU I(we) authorize my(our) attorneys to regarding any matter related to the publicational based thereon, and any passend correspondence to: 13194 Edgewater Lane Mashington 98125, U.S.	tatements made herein of my own known that these statements were made with a compact of the properties of the statements of the patent of the patent issued thereon. If (We) hereby appoint as my (our) mess in the Patent and Trademark Office RIS PLLC accept and follow instructions from reparation, examination, grant and matent resulting therefrom, until I(we) of the patent of the patent seattle, A.	owledge are the the knowle 18 of the Unit 18 of the	rue and that all statements mad dge that willful false statemented States Code and that such with full powers of substitution at therewith: ific INT'L Patent & Trademaths application, any continuation gns withdraw this authorization. Is to: -0314	ts and the like willful false st and revocation ark Office on, continuation	e so made an atements mag
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CONTINUATION OF DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

Page ____2___

PRIOR	USC -119) PRIORITY CLAIMED				Y CLAIMED		
Number		Da	ay/Month/Year Filed	Yes	No		
							
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Prior Provisional Applications 35 U.S. Code =119(e)							
Application N	umber			Day/Month/Year Fi	led		
Days	DR U.S. OR PCT INTERNATIONAL	Applications	/25	LS Cops s120)			
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FULL NAME OF JOINT INVENTOR CHIEN-CH	IUNG LEE	CITIZENSHIP	Ta	iwan.R.O.C.			
RESIDENCE ADDRESS		POST OFFICE A		S THE SAME AS RESIDENCE ADDRESS UNL	ESS OTHERWISE SH	IOWN BELOW	
No.40, Yusiang Rd., Hualien Taiwan, R.O.C	City, Huaiien County,						
1417441							
DATE //\ 7\\		SIGNATURE					
Aug. 31, 20	303	Chien - Chung Lee					
FULL NAME OF JOINT INVENTOR		CITIZENSHIP					
CHIEN-HU	NG YEH	Taiwan.R.O.C.					
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Banciao City, Taipei County,	Taiwan, R.O.C						
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